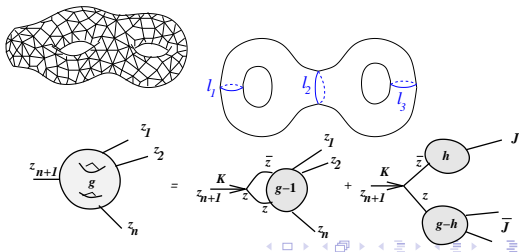
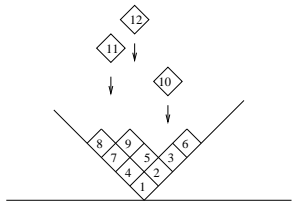


Applications of Topological Recursion in combinatorics and enumerative geometry.

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Aarhus, Jan 2013



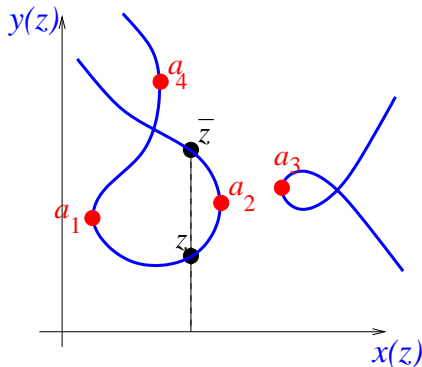
Outline

- Algebraic definition:
spectral curves and their invariants $W_n^{(g)}$
- Some applications
 - Topological expansion of Matrix models
 - Discrete surfaces
 - Intersection numbers and double scaling limits of large discrete surfaces, Kontsevich integral
 - Volumes of moduli spaces
 - Partitions, crystal growth and TASEP
 - Gromov-Witten invariants
 - Knot invariants
- Conclusion

Spectral curve

- **Spectral curve:** $\mathcal{S} = (\mathcal{C}, x(z), y(z), B)$

$$(x, y : \mathcal{C} \rightarrow \mathbb{C})$$



- **Branchpoints** a_i = points with vertical tangent $dx(a_i) = 0$
Assumption: regular spectral curve ($\Leftrightarrow \forall i, a_i = \text{simple}$).
- **Galois conjugate** near a_i , $\exists!$ point \bar{z} such that $x(\bar{z}) = x(z)$.
- \mathcal{C} = Riemann surf., genus $g \rightarrow \theta$ -function, Alg. Geometry...

Diagrammatic rules

$$z_1 \longrightarrow z = K(z_1, z) = \frac{1}{2(y(z) - y(\bar{z})) dx(z)} d_{z_1} \ln \frac{\theta_*(z_1 - \bar{z})}{\theta_*(z_1 - z)}$$

$$z_1 \text{ --- } z_2 = B(z_1, z_2) = d_{z_1} d_{z_2} \ln \theta_*(z_1 - z_2) = \text{2nd kind form}$$

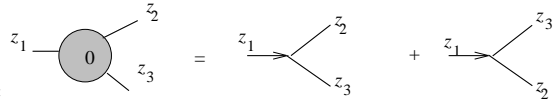
$$\begin{array}{c} z \\ \diagdown \\ \diagup \\ \bar{z} \end{array} = \sum_i \text{Res}_{z \rightarrow a_i}$$

(g, n) invariant: $W_n^{(g)}(z_1, \dots, z_n) = (1, \dots, 1)$ form on \mathcal{C}^n :

$$z_1 \text{ --- } \textcircled{0} \text{ --- } z_2 = W_2^{(0)}(z_1, z_2) = B(z_1, z_2)$$

The diagram shows the expansion of a genus- g surface with $n+1$ external legs (labeled $z_1, z_2, \dots, z_n, z_{n+1}$) into a sum of two diagrams with genus $g-1$. The first diagram on the right has $g-1$ handles and n external legs (z_1, z_2, \dots, z_n), with a vertex labeled K connecting the z_{n+1} leg to the surface. The second diagram on the right has $g-h$ handles and h external legs ($J, \bar{z}, z, \dots, \bar{J}$), also with a vertex labeled K connecting the z_{n+1} leg to the surface.

Example (0, 3) invariant

$$W_3^{(0)}(z_1, z_2, z_3) =$$

$$= \sum_i \operatorname{Res}_{z \rightarrow a_i} K(z_1, z) (B(z, z_2) B(\bar{z}, z_3) + B(z, z_3) B(\bar{z}, z_2))$$

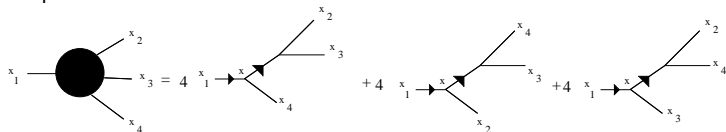
Example: rational curve $z_i \in \mathcal{C} = \mathbb{P}^1$:

$$B(z_1, z_2) = \frac{dz_1 dz_2}{(z_1 - z_2)^2}$$

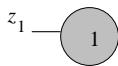
$$W_3^{(0)}(z_1, z_2, z_3) = \sum_i \frac{dz_1 dz_2 dz_3}{x''(a_i) y'(a_i) (z_1 - a_i)^2 (z_2 - a_i)^2 (z_3 - a_i)^2}$$

More examples

$$W_4^{(0)} =$$

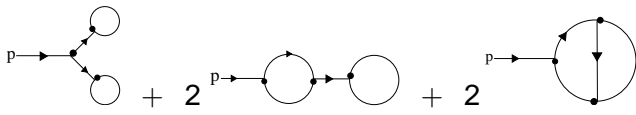


$$W_1^{(1)} =$$



$$= \text{diagram of a circle with a self-loop} = \sum_i \text{Res}_{z \rightarrow a_i} K(z_1, z) B(z, \bar{z})$$

$$W_1^{(2)} =$$



..., etc

Definition: F_g

Definition of the Symplectic Invariant F_g , $g \geq 2$:

$$F_g = W_0^{(g)} = \frac{1}{2-2g} \sum_i \text{Res}_{z \rightarrow a_i} W_1^{(g)}(z) \Phi(z)$$

where $z \bullet - = \Phi(z) = \int_*^z y dx$

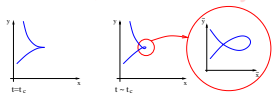
Example F_2 :

$$F_2 = -\frac{1}{2} \bullet \rightarrow \left(\begin{array}{c} \circ \\ \circ \end{array} \right) - \bullet \rightarrow \left(\begin{array}{c} \circ \\ \circ \end{array} \right) - \bullet \rightarrow \left(\begin{array}{c} \circ \\ \circ \end{array} \right)$$

Similar definitions (but more involved) for F_0 and F_1 .

Main properties

- **Symmetry:** $W_n^{(g)}(z_1, z_2, \dots, z_n)$ is symmetric in n variables.
- **Homogeneity:** $y \rightarrow \lambda y \Rightarrow F_g \rightarrow \lambda^{2-2g} F_g$.
- **Symplectic invariance:** $dx \wedge dy = d\tilde{x} \wedge d\tilde{y} \Rightarrow F_g(\mathcal{S}) = F_g(\tilde{\mathcal{S}})$.
- **Singular limits, universality:** If $\mathcal{S}(t)$ is singular at $t = t_c$,



then:

$$F_g(\mathcal{S}(t)) \sim (t - t_c)^{(2-2g)\mu} F_g(\text{Blow up}_{t_c}(\mathcal{S}))$$

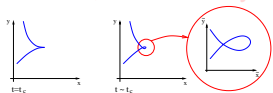
- **Integrability:** Tau-function $\tau = \exp\left(\sum_g N^{2-2g} F_g(\mathcal{S})\right) \Theta$.
- **Holomorphic anomaly equations** = modular transformations, background independence.
- **Derivatives** Variation of the curve $y(x) \rightarrow y(x) + \delta y(x) \Rightarrow$

$$\delta W_n^{(g)}(z_1, \dots, z_n) = \int_{(\delta y dx)^*} W_{n+1}^{(g)}(z_1, \dots, z_n, z)$$

- **And many more ...**

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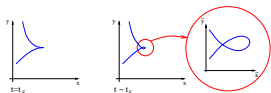
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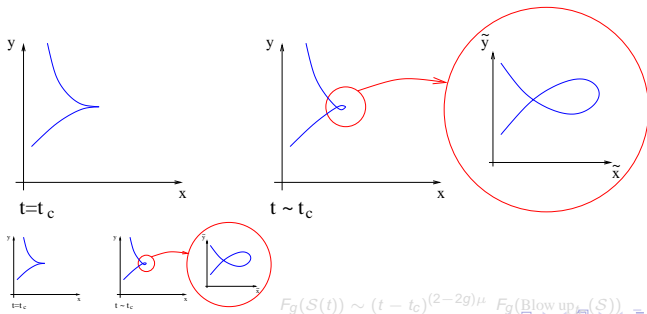
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Hirota equations, Determinantal formulae

$$\sum_g N^{2-2g-n} W_n^{(g)}(z_1, \dots, z_n) = \det'(\hat{k}(z_i, z_j))$$


(proved in many cases, conjectured for the most general case.)

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Application: Matrix models

Consider a $N \times N$ matrix integral with a topological expansion:

$$Z = \int_{H_N(\gamma)} dM e^{-N \text{Tr} V(M)} \sim \exp \left(\frac{1}{N^2} \sum_{g=0}^{\infty} N^{-2g} \mathcal{F}_{g_{M.M}} \right)$$

Theorem 1: Schwinger-Dyson (=Loop equations) imply

$$\mathcal{F}_{g_{M.M}} = F_g(\mathcal{S}):$$

$$\text{Sp. curve: } \mathcal{S} = \begin{cases} y = \frac{1}{2} \left(V'(x) - \sqrt{V'(x)^2 - 4P(x)} \right) \\ = " \lim_{N \rightarrow \infty} \frac{1}{N} \langle \text{Tr} \frac{1}{x-M} \rangle " \\ \frac{1}{2i\pi} \oint_{\mathcal{A}_i} y dx = \epsilon_i = \text{fill.fractions} \rightarrow \gamma \end{cases}$$

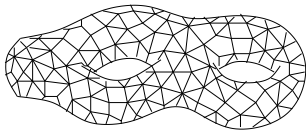
Generalizations: 2-matrix model, chain of matrices, +ext. field.

In all those cases, if $Z = \exp \left(\sum_g N^{2-2g} \mathcal{F}_{g_{M.M}} \right)$,

then $\exists \mathcal{S}$ such that $\mathcal{F}_{g_{M.M}} = F_g(\mathcal{S})$.

Application: discrete surfaces

\mathbb{M}_g = set of **discrete surfaces (= maps)** obtained by gluing n_3 triangles, n_4 quadrangles, ..., and of **genus g** and with v vertices.



$$\mathcal{F}_{g \text{ discr. surf.}} := \sum_{\Sigma \in \mathbb{M}_g} t_3^{n_3(\Sigma)} t_4^{n_4(\Sigma)} \cdots t_d^{n_d(\Sigma)} \frac{t^v(\Sigma)}{\#\text{Aut}(\Sigma)}$$

Theorem 2: Tutte's equations $\Rightarrow \mathcal{F}_{g \text{ discr. surf.}} = F_g(\mathcal{S})$:

$$\mathcal{S} = \begin{cases} x(z) = \gamma(z + z^{-1}) + \alpha \\ y(z) = \sum_k u_k(z^k - z^{-k}) \\ \mathcal{C} = \mathbb{P}^1 \\ x(z) - \sum_k t_{k+1} x(z)^k = \sum_{k=1}^{d-1} u_k(z^k + z^{-k}), \quad u_1 = \frac{t}{\gamma} \end{cases}$$

Kontsevich integral and Double scaling limit

Kontsevich integral:

$$Z_{\text{Kontsevich}}(\Lambda) = \int dM e^{-N \text{Tr} \frac{M^3}{3} - M\Lambda^2} = e^{\sum N^{2-2g} \mathcal{F}_g}, \quad t_k = \frac{1}{N} \text{Tr} \Lambda^{-k}$$

$$\mathcal{F}_g = \sum_n \frac{2^{2-2g-n}}{n!} \sum_{d_1, \dots, d_n} \prod_{i=1}^n t_{2d_i+1} (2d_i - 1)!! \int_{\mathcal{M}_{g,n}} \prod_{i=1}^n \psi_i^{d_i}$$

Theorem 3: Scwinger-Dyson eqs imply: $\mathcal{F}_g = F_g(\mathcal{S}_{\text{Kontsevich}})$:

$$\mathcal{S}_{\text{Kontsevich}} = \begin{cases} x(z) = z + \frac{1}{2N} \text{Tr} \frac{1}{\Lambda(z-\Lambda)} = z - \frac{1}{2} \sum_{k=0}^{\infty} t_{k+2} z^k \\ y(z) = z^2 + t_1 \end{cases}$$

Theorem 3bis: Double scaling limit of discrete surfaces:

$$\mathcal{F}_{\text{Discr. Surf. } g} \sim (t - t_c)^{(2-2g) \frac{p+2}{p+1}} \mathcal{F}_{\text{DSL}}(p, 2)_g, \text{ with}$$
$$\mathcal{F}_{\text{DSL}}(p, 2)_g = F_g(\mathcal{S}_{\text{DSL}}(p, 2)) = \text{"Liouville + (p, 2)":}$$

$$\mathcal{S}_{\text{DSL}}(p, 2) = \begin{cases} x(z) = z^2 + \tilde{t}_1 \\ y(z) = -\frac{1}{2} \sum_{k=1}^p \tilde{t}_{k+2} z^k \end{cases}$$



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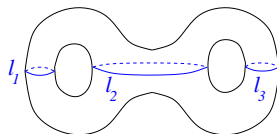
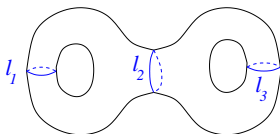
Weil-Petersson volumes

\mathcal{M}_g = set of Riemann surfaces of genus $g \geq 2$.

→ decompose into $2g - 2$ "pairs of pants"

→ $3g - 3$ geodesic lengths l_i , and gluing angles ϑ_i = flat coordinates on \mathcal{M}_g .

$$\mathcal{F}_{W.P.g} = \text{Vol}(\mathcal{M}_g) = \int_{\mathcal{M}_g} \prod_i dl_i \wedge d\vartheta_i.$$

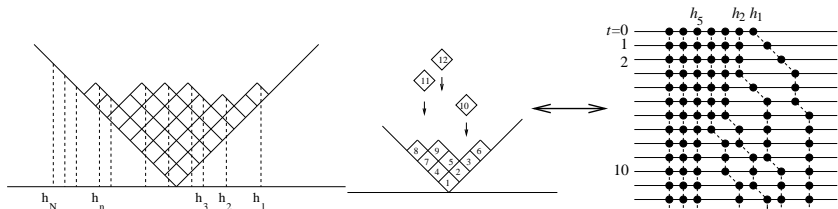


Theorem 4: $\mathcal{F}_{W.P.g} = F_g(\mathcal{S})$:

$$\text{Spectral curve: } \mathcal{S} = \begin{cases} x(z) = z^2 \\ y(z) = \frac{-1}{\pi} \sin(2\pi z) \end{cases}$$

Remark: recursions for $W_n^{(g)} \Leftrightarrow$ Mirzakhani's recursions.

Application: partitions, Crystal growth, TASEP



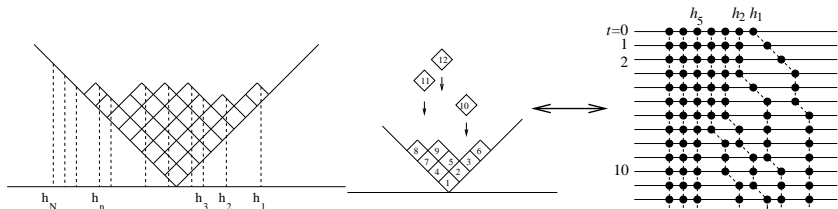
Plancherel Partitions \leftrightarrow Growing crystal \leftrightarrow T.A.S.E.P.-like

$$Z(Q, t_k) = \sum_{\lambda} \hbar^{-2|\lambda|} \left(\frac{\dim(\lambda)}{|\lambda|!} \right)^2 e^{-\frac{1}{\hbar} \sum_k \frac{t_k}{k} h^k} C_k(\lambda)$$

$$C_k(\lambda) = k^{\text{th}} \text{ Casimir} = \sum_i h_i^k$$

Question: $\ln Z = \sum_{g=0}^{\infty} \hbar^{2g-2} \mathcal{F}_{\text{Plancherel}g}(t_k)$

Application: partitions, Crystal growth, TASEP



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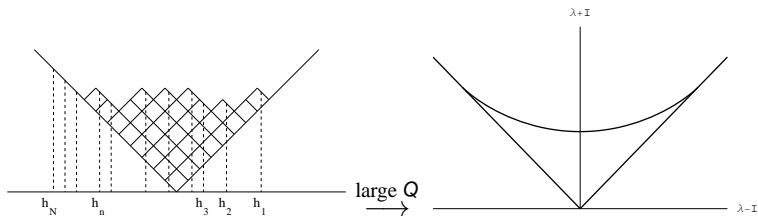
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Spectral curve = "limit shape"

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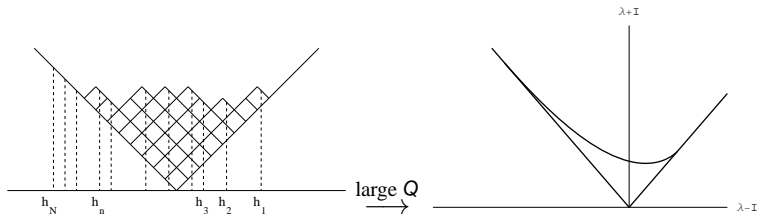


Application: partitions, Crystal growth, TASEP

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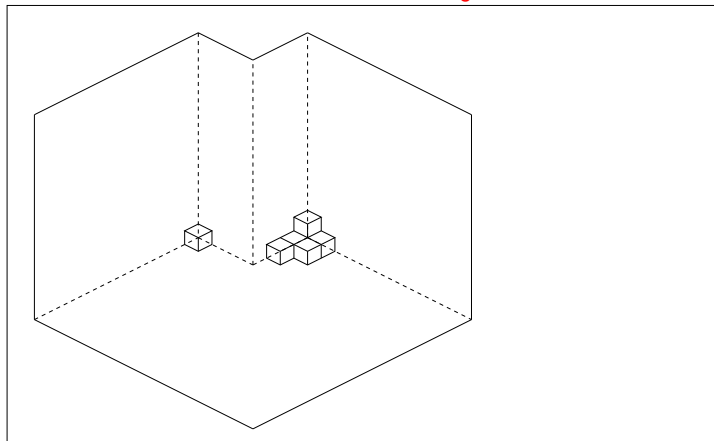
$$\text{Spectral curve: } S(t_k) = \begin{cases} x(z) = e^{-u_0} (z + z^{-1} - u_1) \\ y(z) = \ln(z) + \sum_k u_k (z^k - z^{-k}) \\ \sum_k t_{k+1} x(z)^k = \sum_k u_k (z^k + z^{-k}) \end{cases}$$

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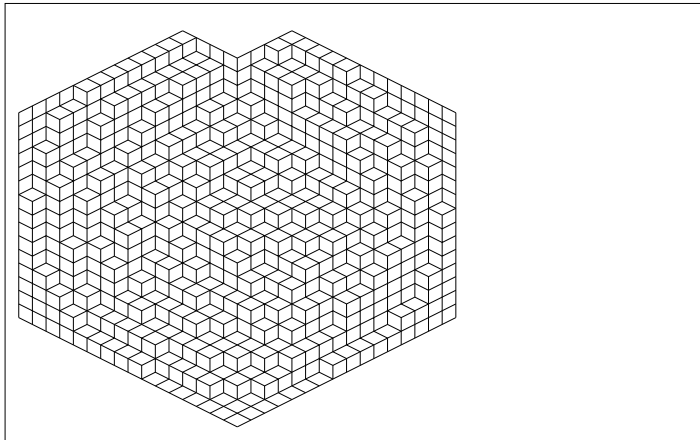
Plane partitions

- $Z = \#\{3D \text{ partitions}\}, \quad \ln Z = \sum_g \text{size}^{2-2g} \mathcal{F}_g.$



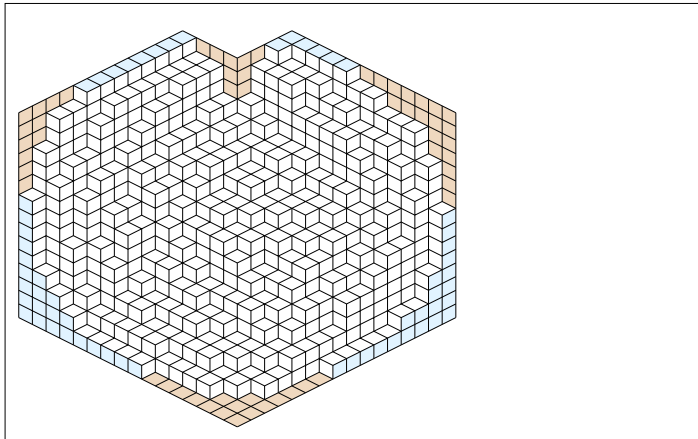
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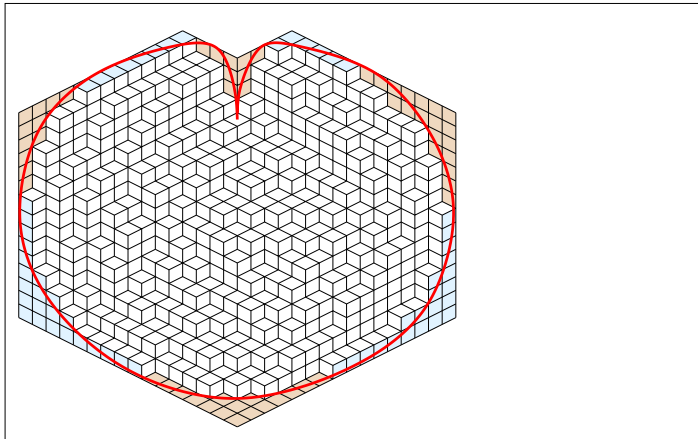
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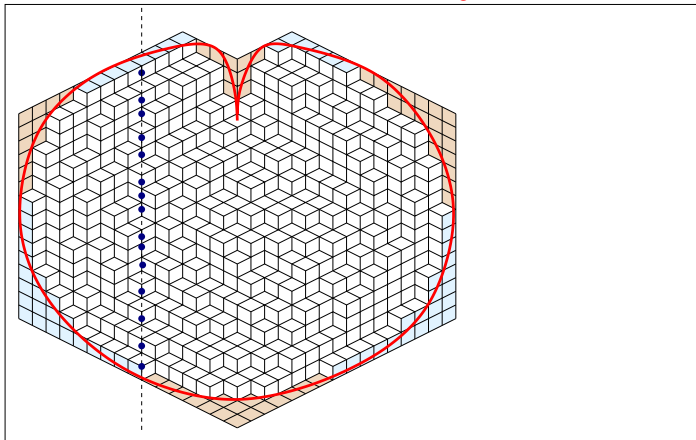
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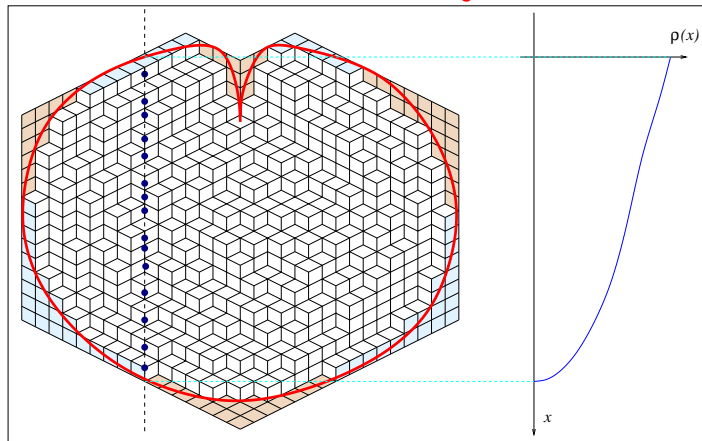
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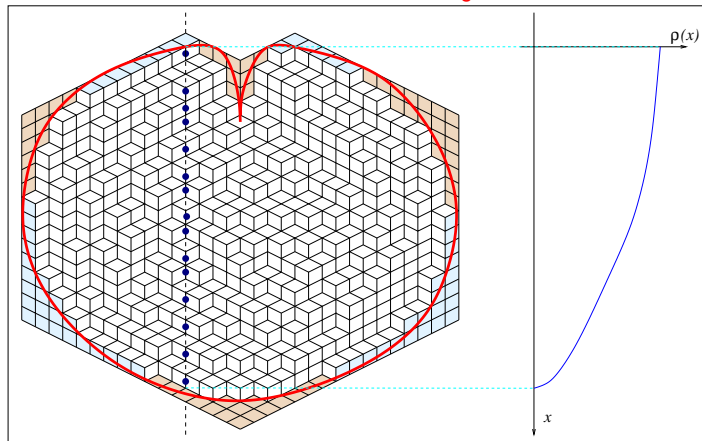


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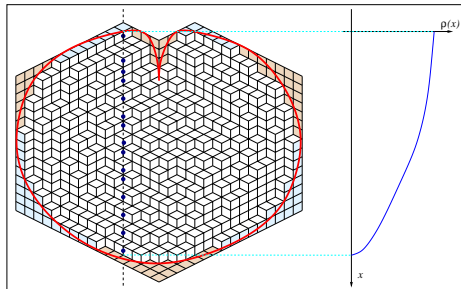


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Idea of a proof: Z =matrix integral, which implies that it satisfies the topological recursion. Problem: show that

$W_1^{(0)} = \text{Kenyon-Okounkov-Sheffield curve (limit shape) ?}$

Topological strings - Gromov-Witten

- Let \mathfrak{X} a 3D Calabi-Yau manifold with toric symmetry
- Gromov-Witten: $\mathcal{N}_{g,d}(\mathfrak{X}) =$ "# of ways of embedding a Riemann surface of genus g into \mathfrak{X} , with homology class d , and passing through given points".
- Generating function: $\mathcal{F}_g = \sum_d \mathcal{N}_{g,d}(\mathfrak{X}) Q^d$.
- String theory: $\mathcal{F}_g =$ amplitude of a closed string of genus g in target space \mathfrak{X} .
- Conjecture [Mariño 2006, BKMP 2008]:

$$\mathcal{F}_g = F_g(\text{mirror } \mathfrak{X})$$

Proved in [E. Orantin 2012], using combinatorics of localization graphs.

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Knot invariants

Conjecture [Dijkgraaf-Fuji 2010+Borot, E. 2012]: Let $J_N(\text{Knot}, q)$ = colored **Jones polynomial** of **Knot**, in the double scaling limit:

$$N \rightarrow \infty, \quad N \ln q = x = O(1)$$

$$\text{Then : } \ln J_N(\text{Knot}, q) \sim \frac{1}{2} \sum_{g=0}^{\infty} \sum_{n+m=1}^{\infty} \frac{(\ln q)^{2-2g-n-m} \Theta^{(m)}}{n!m!}$$
$$\times \underbrace{\int^{x+\bar{x}} \dots \int^{x+\bar{x}}}_n \underbrace{\oint_B \dots \oint_B}_m W_{g,n+m}[\mathcal{S}]$$

where \mathcal{S} = **character variety of the knot** (A-polynomial).

Leading order ($W_{0,1}$ -term) coincides with the famous "**volume conjecture**" [Kashaev 97+ ...]

Ex: figure of 8 knot

$$\mathcal{S} = \{(x, y) \mid e^y + e^{-y} = e^{2x} - e^x - 2 - e^{-x} + e^{-2x}\}$$



Liouville 2D-CFT, with $c = 1 + 6Q^2$, $Q = b + 1/b$.

Liouville action: $\mathcal{S} = \int (\partial\phi\bar{\partial}\phi + \mu e^{2b\phi} + QR\phi)$.

compute **n -point correlation functions** of vertex operators of dimensions $\Delta_j = \alpha_j(Q - \alpha_j)$:

$$Z(\{\Delta_j, z_j\}) = \left\langle \prod_{i=1}^n e^{\alpha_i\phi(z_i)} \right\rangle$$

as a **large Q expansion** (heavy limit), with $\eta_i = \frac{\alpha_i}{Q} = O(1)$:

$$\ln Z \underset{Q \rightarrow \infty}{\sim} \sum_{g=0}^{\infty} Q^{2-2g} F_g(\{\eta_i, z_i\})$$

We propose that:

$$F_g = \mathcal{F}_g[S] \quad , \quad S = \{y = T(x)\} = \text{classical stress energy tensor}$$

We proved [Chekhov, E, Ribault 2012] it for the $n = 3$ -point function, and to order Q^0 , and give heuristic arguments (Ward identities) towards the general case.

Main results:

- Topological recursion is very universal: it appears in many problems of enumerative geometry and integrable systems (more applications: coloured surfaces, CFT, ...).
- They are easy to compute, easy to compare.
- topological recursion provides a unifying geometric framework.

Further prospects:

- Find more applications, and prove them...
- New topological recursion for Boundaries (started with Orantin, to be continued)...
- non-orientable surfaces, quantum deformation... (started with Bergère, Chekhov, Marchal), \rightarrow quantum Riemannian geometry...

More applications

- Airy, Tracy Widom (1,2), $c = -2$
 $y = \sqrt{x}$
- Liouville + Minimal model (p, q) , $c = 1 - 6(p - q)^2/pq$
 $x = \text{Pol}_q(z), y = \text{Pol}_p(z)$.
- Liouville + pure gravity (3, 2), $c = 0$
 $x = z^2 - 2, y = z^3 - 3z$.
- Liouville + Ising (4, 3), $c = 1/2$
 $x = z^3 - 3z, y = z^4 - 4z^2 + 2$.
- Liouville + Unitary models $(q + 1, q)$, $c = 1 - 6/q(q + 1)$
 $x = T_q(z), y = T_{q+1}(z)$ (Tchebychev polynomials).
- Kontsevich integral, times $t_k = \frac{1}{N} \text{Tr} \Lambda^{-k}$
 $x(z) = z^2 + t_1, y(z) = z - \frac{1}{2} \sum_k t_{k+2} z^k$,
- Weil-Petersson volumes
 $x(z) = z^2, y(z) = \frac{1}{2\pi} \sin(2\pi z)$
- Seiberg-Witten
 $x(z) = \wp(z), y(z) = \wp'(z)$.